

ALAN D. PAUW

Member

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HONORS & AWARDS

- The Best Lawyers in America®, 2005-2027, Employee Benefits (ERISA) Law

PRIMARY PRACTICE

Employee Benefits Law

ADDITIONAL PRACTICE EXPERIENCE

Business and Corporate Law

Estate Planning and Administration

Healthcare Law

Taxation

ADMISSIONS

Kentucky, 1990

EDUCATION

1979, B.A., Calvin College (Honors)

1980, M.A., University of Michigan

1984, M.B.A., University of

Alan Pauw's practice covers multiple fields, such as healthcare, employee benefits, wills and trusts, along with general business and corporate issues. He functions primarily as a business and tax attorney, representing small family-owned corporations in sales transactions and aiding various physicians with contract-related matters, including buy-ins, healthcare compliance, and general employment issues.

Tax and corporate law present analytical challenges and involve personal relationships, which drew Alan to these areas of law. Estate planning and probate matters bring Alan's practice into contact with families facing significant decisions, and he finds fulfillment in making a positive difference in their lives as they navigate family, legal, and tax issues.

CAPABILITIES, SUCCESSES, AND ASSIGNMENTS

- Represents small family corporations involved in sale transactions.
- Assists physicians with contractual matters concerning buy-ins, healthcare compliance, and general employment issues.
- Addresses matters concerning public pension plans and ERISA retirement plans, including compliance with the Tax Code, fiduciary liability concerns, and non-qualified deferred compensation.

Southern California

1990, J.D., Boston College Law School

- Created McBrayer's prototype plan document, which is an IRS pre-approved retirement plan document that many of the firm's employer clients use.

Kentucky Leader

- Louisville Employee Benefits Council
- Deferred Compensation Practitioners
- Louisville Forum, Member
- Social Security Payee
- Session Elder at Crescent Hill Presbyterian Church

Professional Activities

Kentucky Bar Association

Louisville Bar Association

American Bar Association

American Health Lawyers Association

Louisville Benefits Council

Louisville Estate Planning Council

Toastmasters International

News

IN THE MEDIA - Fifty McBrayer Attorneys Recognized by *Best Lawyers® for 2027*
August 20, 2026

McBrayer PLLC Achieves Record-Breaking Recognition in *Best Lawyers in America® 2026*
August 21, 2025

New Record Set: 51 McBrayer Attorneys Honored in Best Lawyers 2025
August 15, 2024

Another Record! 49 McBrayer Attorneys Named to Best Lawyers® 2024
August 17, 2023

McBrayer Louisville Ranked in Top Five Largest Employment Law Firms by Louisville Business First
April 24, 2023

A Record Number! Thirty McBrayer Attorneys Named to *Best Lawyers® in America* Lists for 2023
August 18, 2022

McBrayer Attorneys Named to *Best Lawyers® in America* List for 2022
August 19, 2021

McBrayer Attorneys Named to *Best Lawyers® in America* List for 2021
August 20, 2020

McBrayer's Health Care Law Practice Area Makes Business Lexington's BizList
Business Lexington, November 2019, November 1, 2019

McBrayer Attorneys receive U.S. News Best Lawyers Distinction for 2020
U.S. News – Best Lawyers® in America, September 4, 2019

Our Louisville Office is Growing and on the Move
July 1, 2019

Seminars & Speaking Engagements

Ahead of the Curve: Getting in Front of Workplace Problems - Lexington
A Seminar for Employers
The Mane on Main, 201 East Main Street, 15th Floor, Lexington, Kentucky, March 22, 2023

Ahead of the Curve: Getting in Front of Workplace Problems - Louisville
A Seminar for Employers
500W Training Center, 500 West Jefferson Street, 4th Floor, Louisville, Kentucky, March 21, 2023

401(k) Fiduciary Topics, Helm Creznic Wilhoit
November 2018

Entering Practice
University of Louisville, June 2017

Asset Protection Planning
March 2017

Nonqualified Deferred Compensation Plans
September 2015

Fiduciary Liability Under ERISA
June 2015

Financial Reform Update
Lousville, KY, 2011

Human Resources Topics
Elizabethtown, KY, 2011

Fiduciary & Other Liabilities
Louisville, KY, 2010

HIPAA, Stark, Medical Compliance
Louisville, KY, 2010

Entering Practice Contract Negotiations
Louisville, KY, 2009

Identity Theft
Louisville, KY, 2009

Required Distributions From Retirement Plans/IRA's
June 2001

Articles

Plan Sponsor Fiduciary Summit

Legal Reform and Affect on Advisors
January 2011

Roth Conversion
December 2009

Business Considersations for Practicing Medicine
January 2008

Issues to Consider When Hiring Employees
Journal of the Kentucky Medical Association, June 2005

Health Law Update
May 2005

Is Your Organization Ready for HIPAA Security
June 2004

401(k) Plans and Fiduciary Responsibilities
March 2004

Complying With New HIPAA Standards
January 2004

IRA Planning: Strategies for Transferring and Preserving Wealth
November 2003

Employee Fringe Benefits in Kentucky: Understanding the Issues
May 2003

Nuts and Bolts of 401(k) Plans
November 2002

Retirement Plan Legal Issues

Hot Topics in Estate Planning

Required Minimum Distributions From IRA's